1 INTRODUCTION

Monitoring of dam is essential to understand the foundation and structural behaviour both during construction and operation of the dams. Instrumentation plays a key role in safety monitoring for dam and people, providing necessary information on performance of the dam and detect problems at an early and preventable stage. The extent and nature of instrumentation depends not only on the complexity of dam and size of the reservoir but also on the potential for loss of life and property downstream. This information is critical for dam owner who is directly responsible for any consequences of a dam failure.

Instrumentation includes different type of sensors used to measure pore pressure, water flow, lateral movement, deformation, stress, strain and temperature, installed in dam and its appurtenant structures. Advances in geotechnical instrumentation, surveying technologies and data transmission systems make it possible to monitor the dam performance from any remote location, conveniently and economically. The datalogger and total station automatically collects reading from the installed sensors and targets, at selected intervals. An alarm is triggered or SMS is sent if predetermined values are exceeded. Data is transmitted to a remote database management system, at central server or cloud, where large quantities of collected data is processed, evaluated and presented as meaningful information to related authorities, at their desk.
2 MONITORING INSTRUMENTATION & THE NEED FOR IT

2.1 What to monitor?

Dam can fail due to a number of reasons like design error, geological instability, poor maintenance, deterioration of construction material, etc. A good instrumentation program can prevent such failures by providing following information:

2.1.1 Measurement during construction

- To verify the hypothesis and the assumptions of design
- To monitor safety during construction
- To measure change in parameters during construction
- To ensure that interface of construction with adjacent structures and foundation is sound
- To certify the performance of the new construction.

2.1.2 Measurement after construction is completed

- Performance monitoring for safety during the life of structure
- Evaluation of effect of operation of reservoir on parameters like stress, strain, water pressure, inclination, deflection and water seepage.
- Comparison of observed data with design assumptions
- Monitoring of reservoir level and water discharge.

2.1.3 Measurement for research

- Determination and evaluation of design parameters
- Testing of new construction materials and techniques
- Study of the laws of behavior of soil, rock and man-made materials used in the construction of such structures.

2.2 Why monitor?

Safe operations of dam is an important matter of economic benefit and public safety. Catastrophic dam failure can threaten life and property downstream. The primary purpose of instrumentation is to supply data to aid in evaluating the safety of structure by collecting quantitative data on its performance and by detecting problems at an early and preventable stage.
A good instrumentation program serves the following purpose:

2.2.1 Site investigation
Instruments are used to characterize and determine initial site conditions. Common parameters of interest in a site investigation are pore pressure, permeability of soil, slope stability etc.

2.2.2 Design verification
Instruments are used to verify design assumptions. Instrumentation data from the initial stage of a project may show the need or provide the opportunity to modify the design in later stages. 
For example, data obtained from reinforced bar meters installed by us at Teesta Barrage in the left embankment led the project authorities to revise their estimates of requirement of steel in the right embankment.

2.2.3 Construction control
Instruments are installed to monitor the effects of construction. Instrument data helps the engineer to determine how fast construction can proceed without adverse effects on the foundation soil and construction materials used.
For example, the temperature rise in concrete due to heat of hydration was monitored at Sardar Sarovar Dam on Narmada river with our temperature meters to determine the pouring temperature of mass concrete. By mixing ice flakes, the temperature of the concrete to be poured was brought down to around 15°C. This resulted in the temperature of the setting concrete not exceeding the critical 29°C, as required and specified by the Central Water and Power Research Station (CWPRS), Pune.

2.2.4 Safety
Instruments can provide early warning of impending failure. Safety monitoring requires quick retrieval, processing, and presentation of instrument data, so that analyses and decisions can be made promptly. An action plan for implementing corrective measures can then be prepared.

Teesta concrete gravity dam, India  
Chukha concrete gravity dam, Bhutan  
Sardar Sarovar concrete gravity dam,
For example, Encardio-rite instrumented the side walls of the Chukha underground power house in the fault zones with borehole extensometers and anchor bolt load cells. As the excavation proceeded downwards, the data was monitored at a number of locations and corrective action taken by grouting deeper anchor bolts. Accidents were common before the instrumentation work was undertaken. No further accidents took place after the instrumentation and the cavity was completed as per schedule.

2.2.5 Performance

Instruments are used to monitor the in-service performance of a structure. For example, monitoring leakage, pore water pressure and deformation can provide an indication of the performance of a dam.

3 HOW TO MONITOR? SOLUTIONS AVAILABLE FOR DAM MONITORING

Encardio-rite offers a simple to use, comprehensive and cost-effective solution to the user for online monitoring of different types of dams. This includes supply, installation, calibration, maintenance, data collection and web-based data monitoring service that provides information in most suitable forms for easy interpretation of the monitoring data.

Following solutions are available with Encardio-rite for online monitoring:

- Geotechnical sensors to measure all relevant parameters required to monitor different types of dams
- Automatic monitoring of geotechnical sensors using SDI-12 digital interface datalogger with GSM/GPRS telemetry
- Automatic monitoring of geotechnical sensors using LoRa nodes and a gateway
- Geodetic monitoring with automatic total stations (ATS) with GSM/GPRS telemetry
- Laser scanning
- Survey by UAVs (unmanned aerial vehicle) or drones
- Public cloud-based web data management service (WDMS) that provides data online (with alarms) to authorised users at different locations on their computers/mobile devices.
4 MONITORING INSTRUMENTATION

Each dam is a unique situation and requires an individual solution for its instrumentation requirements. There are no simple rules for determining the appropriate level of instrumentation and monitoring because it depends on the size and hazard potential classification of the dam, the complexity of the dam and foundation, known problems and concerns and the degree of conservatism in the design criteria. However, based on our vast experience in instrumenting over 200 dams, few typical instrumentation solutions for online monitoring of different type of dams are given below.

4.1 Concrete dam

Figure 1 gives instrumentation scheme of a typical block in a concrete gravity dam instrumented by Encardo-rite. The table below gives an insight into the purpose of the different instruments, along with their locations. In this particular concrete dam, three different blocks were instrumented. The instrumentation scheme in all the three blocks was different from each other, depending upon the requirement and design considerations. There were some common instruments in each block and some specific instruments to monitor particular parameters in that block.

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Instrument</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor pore pressure or seepage of water through the cross-section of the dam</td>
<td>Pore pressure meter</td>
<td>Dam blocks at different elevations</td>
</tr>
<tr>
<td>Monitor stresses in concrete of the dam body</td>
<td>Stress meter</td>
<td>Near foundation where height of dam is maximum (can be just below gallery)</td>
</tr>
<tr>
<td>Monitor pore pressure to adjust it from stress meter readings to get true stress</td>
<td>Pore pressure meter</td>
<td>Near stress meters</td>
</tr>
</tbody>
</table>

Figure 1: Typical instrumentation scheme of a block of concrete gravity dam
<table>
<thead>
<tr>
<th>Purpose</th>
<th>Instrument</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor joint opening between the blocks</td>
<td>Joint meter – uniaxial and triaxial</td>
<td>Between the concrete blocks</td>
</tr>
<tr>
<td>Monitor tilt of dam</td>
<td>Tiltmeter</td>
<td>Dam block, at the top</td>
</tr>
<tr>
<td>Monitor deformation due to all causes - including those due to stress</td>
<td>Strain meter rosette</td>
<td>In dam body - in a group of five to measure the strains in the vertical, cross horizontal and transverse horizontal directions</td>
</tr>
<tr>
<td>Monitor responses due to changes in temperature, moisture or autogenous growth in the mass concrete of the structure. Adjusting this from strain emgter readings gives strain due to stresses in the dams</td>
<td>No-stress strain meter</td>
<td>Near strain meter rosette – inside nostress container</td>
</tr>
<tr>
<td>Monitor water level in reservoir</td>
<td>Automatic water level recorder</td>
<td>Upstream side of the dam</td>
</tr>
<tr>
<td>Monitor temperature of concrete during the casting of the concrete blocks to prevent undesirable micro-cracks. Temperature variation is also one of a major factor causing stress on the surface of dam that result in material fatigue</td>
<td>Temperature meter</td>
<td>Dam blocks and spillway</td>
</tr>
<tr>
<td>Monitor water pressure on the base of dam caused due to water seepage from reservoir to the foundation. This pressure exerts a vertical upward force on the base of the dam and tries to lift it up</td>
<td>Uplift pressure meter</td>
<td>In the dam Gallery – downwards – with a stop valve on the uplift pressure pipes, which is opened to release the water and reduce pressure on base of dam.</td>
</tr>
</tbody>
</table>

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**Purpose**  
**Instrument**  
**Location**

- *Parbati concrete gravity dam, India*  
- *Ghatgar RCC dam, India*  
- *Shong Tong Karcham concrete dam,*

- *Triaxial jointmeter installed at Teesta III*  
- *Sensors being installed at Middle Marsyangdi dam, Nepal*  
- *Strain gage rosette and piezometer being installed at Nam Pha dam, Laos*  
- *Concrete pressure cell being installed in Ghatgar dam, India*
<table>
<thead>
<tr>
<th>Purpose</th>
<th>Instrument</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor tilt of dam – caused by thrust applied by water pressure on the dam</td>
<td>Normal plumbline (telecoordinometer)</td>
<td>Blocks</td>
</tr>
<tr>
<td>Monitor relative displacement between the dam bottom and the foundation base rock</td>
<td>Inverted plumbline (telecoordinometer)</td>
<td>In same block as that of Normal plumbline</td>
</tr>
<tr>
<td>Monitor lateral movement of foundation</td>
<td>Digital inclinometer or in-place inclinometer</td>
<td>Dam foundation with top in cross or transverse gallery</td>
</tr>
<tr>
<td>Monitor vertical displacement of the dam bottom in respect to the foundation base rock</td>
<td>Borehole extensometer</td>
<td>Dam foundation with top assembly in cross or transverse gallery</td>
</tr>
<tr>
<td>Monitor amount of seepage through, around and under embankments</td>
<td>Seepage measurement device</td>
<td>Downstream side of dam</td>
</tr>
<tr>
<td>Monitor 3D movements or deformations</td>
<td>Optical targets and robotic total stations</td>
<td>Dam surface</td>
</tr>
</tbody>
</table>

Typical instrument layout scheme with current online data from a concrete gravity dam

Typical long-term online (8 years) piezometer data from a concrete gravity dam
### 4.2 Earth and rockfill dam and Concrete face rockfill dam (CFRD)

Figure 2 gives instrumentation scheme of a typical section in an earth and rock fill dam while Figure 3 gives instrumentation scheme of a typical section in a concrete faced rock fill dam (CFRD).

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Instrument</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor pore pressure or seepage of water through the cross-section of the dam</td>
<td>Pore pressure meter</td>
<td>Dam body at different elevations. Also in dam foundation by drilling holes.</td>
</tr>
<tr>
<td>Monitor compressive forces and stress</td>
<td>Soil pressure meter</td>
<td>Dam body at different elevation-near foundation where height of dam is maximum (can be just below gallery)</td>
</tr>
<tr>
<td>Monitor pore pressure to adjust it from stress meter readings to get true stress</td>
<td>Pore pressure meter</td>
<td>Near soil pressure meters</td>
</tr>
<tr>
<td>Monitor lateral movement of foundation</td>
<td>Digital inclinometer or in-place inclinometer</td>
<td>Across dam body; from the base of the dam to the top</td>
</tr>
<tr>
<td>Monitor amount of settlement that occurs when a soil is loaded or dewatered</td>
<td>Settlement cell and magnetic extensometer</td>
<td>Across dam body; from the base of the dam to the top</td>
</tr>
<tr>
<td>Monitor water level in reservoir</td>
<td>Automatic water level recorder</td>
<td>Upstream side of the dam</td>
</tr>
<tr>
<td>Monitor lateral movement along with settlement</td>
<td>Inclinometer-cum-magnetic extensometer</td>
<td>Dam body, towards downstream side, with top in accessible area to take manual readings</td>
</tr>
<tr>
<td>Monitor soil or rock movement, lateral strains and settlement</td>
<td>Soil extensometer</td>
<td>Dam body from upstream side to downstream side</td>
</tr>
<tr>
<td>Monitor amount of seepage through, around and under embankments</td>
<td>Seepage measurement device</td>
<td>Downstream side of dam</td>
</tr>
</tbody>
</table>

Purulia earth dam, India

Dhauliganga CFRD, India

Settlement cell being installed at Gibe III dam, Ethiopia

Inclinometer being installed in EL Platanal rockfill dam, Peru

Soil extensometer being installed in a earth and rockfill

Tilt meter installed at concrete face of Dhauliganga CFRD, India
<table>
<thead>
<tr>
<th>Purpose</th>
<th>Instrument</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor 3D movements or deformations</td>
<td>Optical targets and robotic total stations with control box</td>
<td>Dam surface</td>
</tr>
<tr>
<td>Monitor strain in the concrete face</td>
<td>Strain gages</td>
<td>Concrete face of CFRD</td>
</tr>
</tbody>
</table>

5 EXISTING DAM

Dams age and deteriorate with time posing a potential threat to life, health, property and environment. Safe functioning of dams is important. Changes in the behavioral characteristics may be indicative of impending failure of a dam. Continuous monitoring of dams is essential to detect such changes at early stages and to enhance response time to prevent disasters. This calls for online monitoring systems that are commissioned for near real time monitoring of the installed instrumentation.

The instrumentation plan will depend on the type and health status of dam and on the existing working instruments installed in the dam. Replacement of existing faulty instruments to the extent necessary and practicable and adding state of the art new instrumentation systems depending on the feasibility and health of dam should be taken up to ensure an effective monitoring system.
6 AUTOMATIC DATA COLLECTION

Encardio-rite offers advanced automatic dataloggers with GSM/GPRS for data collection of geotechnical instruments with SDI-12 digital interface and transmission to remote server. The dataloggers can be programmed to take a measurement from 5 seconds to 168 hours. The measured data is stored, together with the current date, time and battery voltage, as a data record in the internal non-volatile memory of the datalogger.

The advantage of the system is that only a single 3 conductor cable is required to interconnect all the sensors and the datalogger in a serial bus. SDI-12 is a multi-drop interface that can communicate with multi-parameter sensors.

Typical scheme of wireless sensor network

Remote real time monitoring system with SDI-12 digital interface sensors and dataloggers

Typical scheme of wireless sensor network
7 WIRELESS MONITORING SYSTEM USING RF

Encardio-rite offers state-of-the-art wireless monitoring solution comprising of wireless dataloggers compatible with a wide array of geotechnical and environmental sensors and gateways.

The radio-communication devices are battery powered and are based on LoRa technology and provide 'Long Range' communications on a wide area network (WAN) using very low power levels.

Data collected from the remote field sensors can be viewed in near real-time by the authorized users from any part of the globe by logging on to Encardio-rite’s WDMS. Refer to block diagram given below.

The system features long communication on an ISM frequency range of up to 10 km in open field conditions. The low power consumption of datalogger results in batteries lasting for up to 5 years.

The wireless dataloggers, functioning as nodes of the wireless network, are available in single and multichannel configurations suitable for receiving digital inputs from vibrating wire and analog devices to automatically collect, store and transmit data from the connected sensors. The gateway is the aggregator of all data collected by the nodes. It has an integrated 3G modem and transmits the data over the internet to the WDMS.

The system offer benefits such as cost & time savings, remote monitoring of hard to access locations, easy expansion of the system, if required in future and easy maintenance.

8 AUTOMATIC SURVEYING SYSTEM

Encardio-rite offers an automatic three-dimensional deformation monitoring system with highest accuracies achievable in the industry presently. Displacement data is measured from the prism targets by a high end robotic total station and control box with an inbuilt GSM/GPRS modem. Structural deformation data is available online through WDMS in near real time.

The system ensures near real-time monitoring of displacement, providing high measurement density, simultaneous wireless transmission and availability of data online in easy to understand movement vectors in graphical and tabular formats. The system can be accessed and controlled remotely from anywhere by the users.
9 LASER SCANNING

In geodetic survey, high accuracy measurement of displacements is possible, but of a small number of points when compared to the size of dam. The number of control points is even smaller in the automatic measurement system with robotic total stations. On the other hand, laser scanners are capable to acquire a very huge number of points, so that the control could be extended to the whole structure instead of being limited to a few points.

Laser scanning is a new method of surveying and conducting geometric documentation of buildings, mega structures and engineering projects (such as tunnels, bridges, dams, etc) or other construction works and objects which require a high degree of analysis, are difficult to reach or gain access to. It is based on exceptionally dense mapping of three-dimensional coordinates of the points on the surface that is to be surveyed, taken at speeds ranging from a few thousand up to a million points per second. From the point where cloud is produced, the exported section profiles can be used to monitor deformations or displacements.

Completion of the fieldwork results in a georeferenced point cloud which, due to its great density and its ability to bear information on the reflectivity and/or the color of each point, comes close to the term, “virtual reality”. Depending on the object (size, shape, desired accuracy), laser scanning may be airborne or terrestrial, static or mobile, autonomous or in combination with other standard topographic methods.

10 AERIAL SURVEY WITH DRONES/UAV

This is a rapid and safe way of collecting data from large-scale project where frequent geospatial and/or imaging information is needed for topo-survey prior to construction, monitor progress of a running project and also after construction for regular performance checks.

Survey by Drone / UAV (Unmanned Aerial Vehicle)

Laser scanning
In an aerial survey by drones, unmanned and remotely piloted aircraft follow a preprogrammed path for takeoff, flight and landing. These aircraft are equipped with HD/IR/Thermal cameras that compute aerial images and videos over a defined area at a specified height. The data, in form of point clouds, meshes and 3D models, is compared between sequel flights during monitoring campaign.

11 WEB BASED DATA MANAGEMENT SYSTEM (WDMS)

Encardio-rite offers complete cloud-based web or local access data monitoring service to its customers for retrieving data from the dataloggers, archiving retrieved data in a SQL database, processing data and presenting the processed data in tabular and most suitable graphical forms for easy interpretation. This is a highly flexible monitoring platform that can combine data from geotechnical, geodetic and environmental sensors.

Web data monitoring service consists of Drishti or Terramove data management software. Drishti is used for providing services where only geotechnical instruments are used. Terramove is used where data is collected/correlated with both geotechnical instruments and automatic total stations. Encardio-rite cloud services work on a rental model. The user has to pay a small setup fee for the first time and then a monthly rental has to be paid for accessing the data over the cloud as long as required. Alternatively, it can be installed on the client’s server also, if required. Features of the monitoring database management software can be summarized as follows:

- Data from multiple sensor types are converted into meaningful information in graphical as well as numerical format
- Layout plan can be incorporated with locations of each monitoring sensor. From this layout plan, the user can get data in the graphical form of any sensor with few mouse clicks
- Access to all sensors in one platform
- Instant automatic alerts via SMS or email to authorized personnel
- Generate combined charts of related parameters
- Create graphs from any combination of parameters and time period
- Variety of visualization and analysis tools to identify potential failure scenarios
- No special software required for accessing the user sites as information can be viewed using most standard and popular web browsers
- Can be accessed using tablets and smartphone.

![Typical long-term online data from a dam](image-url)
12 CONCLUSION

An online monitoring solution for large dams is not expensive compared to what is spent later on in revival of dams, rescue operations and rehabilitation. By monitoring dam performance, corrective action may become possible earlier than the occurrence of any failure. Most dam failures that have occurred could have been avoided if the structure's behavior had been inspected, monitored and analyzed continuously and proper corrective measures had been taken timely.

Type and nature of dam decides the number of instruments to be used and at what locations they are to be embedded in the dam. There should be close cooperation between the designers, instrumentation specialists, monitoring data analysts and site authorities to achieve the goal of the instrumentation program.

To obtain best results, the instruments need to be of superior quality and must be installed carefully and precisely under expert supervision; since once embedded, the instrument cannot be taken out.

The collection and analysis of large quantities of data from huge area, requires centralised and automated database systems as data monitored must be made available to the user promptly as meaningful information. The data collected must be reduced to a convenient form. Automated database solutions do the processing and analysis of the collected raw data and provide accurate data, rapidly, enabling efficient alarm systems. Today, it is practically impossible to consider monitoring of a large dam without automated database systems.